RPTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 Please type a plus sign (+) inside this box -> + U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trauemark Office. U.S. Deletion of information unless it displays a valid OMB control number. 10/616,228 Application Number TRANSMITTAL July 8, 2003 Filing Date **FORM** ENTATRA First Named Inventor Chee (to be used for all correspondence after initial filing) 1631 **Group Art Unit** Whaley, P. S. **Examiner Name** Total Number of Pages in This Submission 018547-008213US 7 Attorney Docket Number ENCLOSURES (check all that apply) After Allowance Communication to Fee Transmittal Form (orig. + 1 cc.) (for an Application) Group Appeal Communication to Board of ☐ Drawing(s) Fee Attached Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Response (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) Proprietary Information After Final and Accompanying Petition Petition to Convert to a Status Letter Affidavits/declaration(s) **Provisional Application** Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Terminal Disclaimer Express Abandonment Request 1. Return Receipt Postcard Request for Refund 2. Five (5) references CD. Number of CD(s) with SB08A and SB08B The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Deposit Account 20-1430. Remarks Document(s) Total number of pages does not include cited references. Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm

CERTIFICATE OF MAILING

Reg No. 51,995

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Daniel Mao

May 12, 2006

Typed or printed name Christopher R. Fitting

Signature

Date May 31, 2006

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PTO/SB/17 (12-04) Complete if Known Effective on 12/08/2004. suant to the Consolidated Appropriations Act, 2005 (H.R. 4818). 10/616,228 **Application Number** TRANSMITTAL Filing Date July 8, 2003 For FY 2005 Chee First Named Inventor Whaley, P. S. **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 1631 Art Unit TOTAL AMOUNT OF PAYMENT (\$) 180.00018547-008213US Attorney Docket No. METHOD OF PAYMENT (check all that apply) None Other (please identify): Check | Credit Card | Money Order | Deposit Account Deposit Account Number: 20-1430 Deposit Account Name: Townsend and Townsend and Crew LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below, except for the filing fee Charge fee(s) indicated below Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038 **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **EXAMINATION FEES** SEARCH FEES **FILING FEES Small Entity Small Entity** Small Entity Fees Paid (\$) Fee (\$) Fee (\$) **Application Type** Fee (\$) Fee (\$) Fee (\$) Fee (\$) 500 250 200 100 300 150 Utility Design 200 100 100 50 130 65 300 150 160 80 Plant 200 100 500 250 600 300 150 Reissue 300 0 0 0 0 Provisional 200 100 **Small Entity** 2. EXCESS CLAIM FEES Fee (\$) Fee (\$) **Fee Description** 25 Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent 50 Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent 200 100 180 Multiple dependent claims **Multiple Dependent Claims Total Claims** Fee Paid (\$) **Extra Claims** Fee (\$) -20 or HP = Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20 Fee Paid (\$) Indep. Claims **Extra Claims** Fee (\$) -3 or HP = \$ HP = highest number of independent claims paid for, if greater than 3 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof Fee (\$) **Total Sheets** Fee Paid (\$) Extra Sheets (round up to a whole number) x / 50 = - 100 = Fees Paid (\$) 4. OTHER FEE(S) Non-English Specification, \$130 fee (no small entity discount) 180.00 Other: Information Disclosure Statement (after office action) SUBMITTED BY Registration No. 51,995 Telephone (650) 326-2400 Signature (Attorney/Agent)

May 12, 2006

Name (Print/Type)

Daniel Mao

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Attorney Docket No.: 018547-008213US Client Reference No.: 1091.1C US

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TISEND and TOWNSEND and CREW LLP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Chee et al.

Application No.: 10/616,228

Filed: July 8, 2003

For: COMPUTER-AIDED

VISUALIZATION AND ANALYSIS

SYSTEM FOR SEQUENCE

EVALUATION

Confirmation No.: 1818

Examiner: Whaley, P. S.

Art Unit: 1631

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on the attached PTO/SB/08A and PTO/SB/08B forms are being called to the attention of the Examiner. Copies of the references [in compliance with the requirements of 37 CFR §1.98(a)(2)] are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

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PATENT

Chee et al.

Application No.: 10/616,228

Page 2

Applicant draws examiners attention to commonly owned, pending application no. 08/584,754, filed January 9,1996 (Attorney Docket No. 018547-008230US).

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance. Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Daniel Mao Reg. No. 51,995

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834 Tel: 650-326-2400

Fax: 650-326-2422

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

 Complete if Known

 Application Number
 10/616,228

 Filing Date
 July 8, 2003

 First Named Inventor
 Chee

 Art Unit
 1631

 Examiner Name
 Whaley, P. S.

 Attorney Docket Number
 018547-008213US

(Use as many sheets as necessary)
Sheet 1 of

U.S. PATENT DOCUMENTS Publication Date MM-DD-YYYY Name of Patentee or Pages, Columns, Lines, Where Relevant Passages or Relevant Examiner Document Number Applicant of Cited Document Initials* Figures Appear Number Kind Code² (# known) US-6,733,964 05-11-2004 Chee et al. AA 11-11-2003 Pirrung et al. US-6,646,243 AΒ 08-19-2003 Chee AC US-6,607,887 07-29-2003 Webster et al. US-6,600,996 AD Fodor et al. 03-12-2002 ΑE US-6,355,432 06-05-2001 Chee US-6,242,180 AF 10-26-1999 Chee AG US-5,974,164 ΑH US-5,795,716 08-18-1998 Chee Chee et al. US-2005/0186581 A1 08-25-2005 ΑI Webster et al. ΑJ US-2003/0220748 A1 11-27-2003

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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³	Number ⁴	Kind Code⁵ (<i>if known</i>)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	T ⁶
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Examiner	Date	
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Complete if Known			
Application Number	10/616,228		
Filing Date	July 8, 2003		
First Named Inventor	Chee		
Art Unit	1631		
Examiner Name	Whaley, P. S.		
Attorney Docket Number	018547-008213US		

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2			
	AK	DRMANAC et al., "Miniaturization of Sequencing by Hybridization (SBH): a novel method for genome sequencing," and "Algorithm for Sequence Generation from K-tuple word content," abstracts only from Wolf Trap Genome Sequencing Conference, 1989.				
	AL	DRMANAC et al., "Partial Sequencing of Random cDNA Clones by Hybridizations of Short Oligonucleotides: Making a Gene Inventory," and "Towards Genomic DNA Sequencing Chip Based on Oligonucleotide Hybridization," abstracts only, pages 52-53 from Genome and Sequencing Conference, Cold Spring Harbor Laboratory, 5/2-6/90.				
	AM	DRMANAC et al., "Prospects for a Miniaturized, Simplified and Frugal Human Genome Project," <u>Scientia Yugoslavia</u> , 16(1-2):97-107 (1990).				
	AN	DRMANAC et al., "Sequencing by Oligonucleotide Hybridization: A Promising Framework in Decoding of the Genome Program?," Proc. First Int'l Conf on Electrophoresis, Supercomputing and the Human Genome, pages 47-59, held 4/10-13/90 in Tallahassee, FL.				
	AO	Illumina, Inc.'s First Amended Answer and Counterclaims in Civil Action 04-901 JJF.				

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